#17/Mise. Letter

### TELEFAX TRANSMISSION COVER SHEET

TO:

SPE: Joseph HAIL

U.S. Patent & Trademark Office

Group Art Unit: 3723

Fax No: (703) 872 - 9301

Phone No.: (703) 308-2687

FROM:

MEL R. QUINTOS

OF

ARMSTRONG, WESTERMAN & HATTORI, LLP

**RE: U.S. PATENT APPLICATION** 

SERIAL NO. 09/591,508

Our Ref: 000736

SECOND REQUEST FOR STATUS

w/ USPTO Communication dated 10/11/02.

**FAX RECEIVED** 

111 0 9 2003

**GROUP 3700** 

If all pages not received, please call the above-identified attorney at local telephone no. 202-659-2930.

#### Certification of Facsimile Transmission

I hereby certify that this paper, a Second Request for Status and the USPTO Communication dated 10/11/02 (4 page and 1 page cover sheet for total of 5 pages), is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Person signing certification:

Mel R. Quintos, Reg. No. 31,898

July 8, 2003

Date

Attny.Docket No. 000736

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Masanori CHIKUBA et al.

Group Art Unit: 3723

Date: July 8, 2003

Serial No.: 09/591,508

Examiner: Willie Wendel BERRY

SPE: Joseph HAIL

Filed: June 12, 2000

P.T.O. Confirmation No.: 3585

For:

METHOD AND APPARATUS FOR GRINDING MAGNETIC MEMBER AND

METHOD AND APPARATUS FOR TREATING WASTE FLUID

## **SECOND REQUEST FOR STATUS**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned attorney requests the status of the above-identified application.

A telephone interview was conducted with Examiner Willie Berry, Jr. on October 10, 2002 in which the issuance of a new Office Action was discussed. Attached is a copy of the U.S. Patent and Trademark Office communication dated October 11, 2002.

To date no newly issued Office Action has been received.

In the event any fees are required in connection with this paper, please charge Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

Mel'R. Quintos Attorney for Applicants

Reg. No. 31,898

MRQ/lri

Atty. Docket No. **000736** 1725 K Street, N.W.; Suite 1000 Washington, D.C. 20006

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23850

PATENT TRADEMARK OFFICE





### United States Patent and Trademark Office

MRQ

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
West. unplo.gov.

 APPLICATION NO.
 FILING DATE
 FIRST NAMED INVENTOR
 ATTORNEY DOCKET NO.
 CONFIRMATION NO.

 09/591,508
 06/12/2000
 Masanori Chikuba
 000736
 3585

 23850
 7590
 10/11/2002

 ARMSTRONG, WESTERMAN & HATTORI, LLP
 EXAMINER

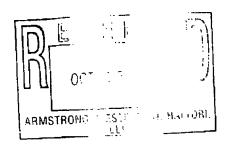
ARMSTRONG, WESTERMAN & HATTORI, LLP 1725 K STREET, NW. SUITE 1000 WASHINGTON, DC 20006

BERRY, WILLIE WENDELL IR

ART UNIT PAPER NUMBER
3723

DATE MAILED: 10/11/2002

Please find below and/or attached an Office communication concerning this application or proceeding.



1415-07

PTO-90C (Rev. 07-01)

•	Application No.	Applicant(s)	
Interview Summary	09/591,508	CHIKUBA ET AL.	
	Examiner	Art Unit	
	Willie Berry, Jr.	3723	
All participants (applicant, applicant's representative, P	TO personnel):		
(1) Willie Berry, Jr.	(3) <u>Joseph Hail</u> .		
(2) <u>Mel Quintos</u> .	(4)		
Date of Interview: 10 October 2002.			
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant	2) applicant's represe	entative]	
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e)⊠ No.		
Claim(s) discussed: None .			
Identification of prior art discussed: Prior Art of Record .			
Agreement with respect to the claims f)⊠ was reached	l. g)□ was not reached.	h)□ N/A.	
Substance of Interview Including description of the gener reached, or any other comments: The general nature of issue the applicant a new action based on a new search	al nature of what was agre the agreement was to vace within the next few weeks	ed to if an agreement was ate the prior final rejection and to	2
(A fuller description, if necessary, and a copy of the amer allowable, if available, must be attached. Also, where no allowable is available, a summary thereof must be attached.	ndments which the examin		ns
<ul> <li>i) It is not necessary for applicant to provide a schecked).</li> </ul>	separate record of the subs	stance of the interview(if box is	
Unless the paragraph above has been checked, THE FOI MUST INCLUDE THE SUBSTANCE OF THE INTERVIEV action has already been filed, APPLICANT IS GIVEN ONI STATEMENT OF THE SUBSTANCE OF THE INTERVIEV reverse side or on attached sheet.	v. (See MPEP Section /1	3.04). If a reply to the last Office	Э
	Superv	oseph J. Hail, III isory Patent Examiner nology Center 3700	
examiner Note: You must sign this form unless it is an attachment to a signed Office action.	Examiner's s	ignature, if required	j
Patent and Trademark Office		-aa-a-a, ii roquireu	- 1

U.S. Patent and Trademark Office PTO-413 (Rev. 03-98)

# Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

# Title 37 Code of Federal Regulations (CFR) § 1.133 interviews

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

## 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of epplicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the Interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case unless both applicant and examiner agree that the examiner will record same. Where the examiner agrees to record the substance of the interview, or when it is adequately recorded on the Form or in an attachment to the Form, the examiner should check the appropriate box at the bottom of the Form which informs the applicant that the submission of a separate record of the substance of the interview as a supplement to the Form is not

It should be noted, however, that the interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed.
- 4) an identification of the principal proposed amendments of a substantive neture discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.